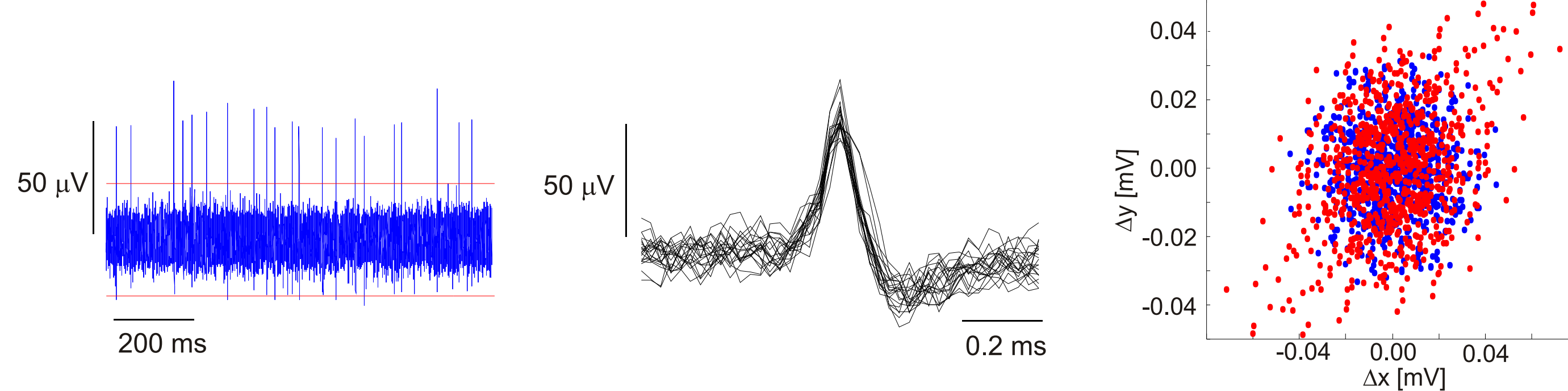


Introduction

Simultaneous multi-channel single unit recordings are a key tool to investigate neural function. We have developed a simple algorithm to automate the process of finding extracellular single units in real time using a multi-channel microelectrode drive. The algorithm uses brief (1s) periods of recording to assess recording quality. The microelectrode is advanced until well isolated units are detected.

The autonomous algorithm consists of four steps:

1. Detection and alignment of spike waveforms using thresholding;
2. Dimensional reduction of the differences between noisy spike waveforms using Principal Component Analysis (PCA);
3. Determining the number of different single-units (Bayesian model selection);
4. Finally, a qualitative measure of unit separation is estimated, also using a Bayesian approach.



Pairwise differences of the spike waveforms

Data in Fig. 3 are obtained by all the differences of the spike waveforms:

$$\bar{\Delta}_{ij}(t) = \bar{W}_i(t) - \bar{W}_j(t) = \bar{S}_i(t) + \bar{N}_i(t) - (\bar{S}_j(t) + \bar{N}_j(t))$$

$$\bar{\Delta}_{ij}^{noise}(t) = \bar{W}'_i(t) - \bar{W}'_j(t) = \bar{N}'_i(t) - \bar{N}'_j(t)$$

where $\bar{W}_i(t)$ is the i -th recorded spike waveform comprising the underlying spike shape $\bar{S}_i(t)$ plus noise $\bar{N}_i(t)$, and $\bar{W}'_i(t)$ is a signal vector with no spikes.

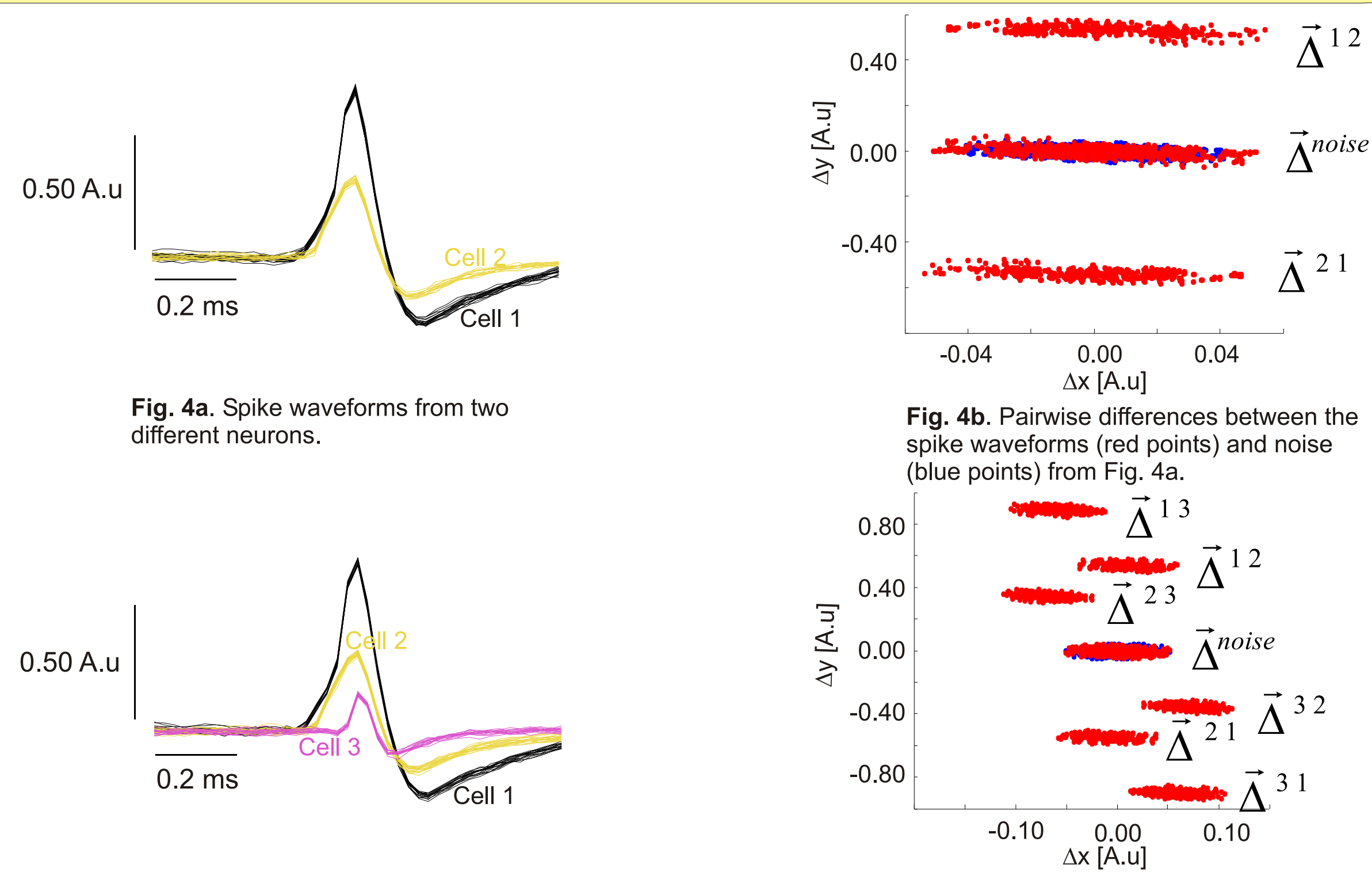
If the spikes come from one cell (Fig. 2):

$$\bar{S}_i(t) = \bar{S}_j(t)$$

$$\bar{\Delta}_{ij}(t) = \bar{N}_i(t) - \bar{N}_j(t)$$

In Fig. 3, the differences of the noise spike waveforms $\bar{\Delta}_{ij}(t)$ and the differences of the noise $\bar{\Delta}_{ij}^{noise}(t)$ exhibit the same distribution.

If the spikes come from two (Fig. 4) and three (Fig. 5) different cells, $\bar{\Delta}_{ij}(t)$ will show multiple clusters:



Bayesian Model Selection (BMS)

We are interested to know which model M_k , with $k = 1, 2$ or 3 different spikes has maximum likelihood given $\bar{\Delta}_{ij}(t) = \text{data}$ (red cluster points in Fig. 3, 4b and 5b).

We calculate the posterior probability $P(M_k | \text{data})$ using a Bayesian Model Selection (BMS) based on Bayes' theorem:

$$P(M_k | \text{data}) = \frac{P(M_k) P(\text{data} | M_k)}{P(\text{data})}, \text{ assuming } \frac{P(M_k)}{P(\text{data})} = \text{const.}$$

where the const. is determined by the normalization constraint:

$$\sum_{k=1}^3 P(M_k | \text{data}) = 1$$

$P(\text{data} | M_k)$ is the likelihood function of the model M_k given by:

$$P(\text{data} | M_k) = \int P(\bar{\theta}_k | M_k) P(\text{data} | \bar{\theta}_k, M_k) d\bar{\theta}_k$$

where $P(\bar{\theta}_k | M_k)$ and $P(\text{data} | \bar{\theta}_k, M_k)$ are the prior probability and likelihood function of the parameter vector $\bar{\theta}_k$ respectively.

We assume a uniform $P(\bar{\theta}_k | M_k) = \frac{1}{\Delta\theta_k}$, and $P(\text{data} | \bar{\theta}_k, M_k)$ is determined by:

$$P(\text{data} | \bar{\theta}_k, M_k) = \prod_{u=1}^U P(\text{data}_u = (\Delta x, \Delta y)_u | \bar{\theta}_k, M_k), \text{ where } U \text{ is the number of two-dimensional points, and } P(\text{data}_u | \bar{\theta}_k, M_k) \text{ obey a probability density function depending of the model } M_1, M_2, \text{ or } M_3$$

respectively:

$$P(\text{data}_u = (\Delta x, \Delta y)_u | \bar{\theta}_1, M_1) = G(\text{data}_u, \bar{\theta}_1), \text{ with } \bar{\theta}_1 = (\bar{\mu} = \bar{\mu}^{noise}, \bar{\sigma} = \bar{\sigma}^{noise}) \text{ (no free parameters)}$$

$$P(\text{data}_u = (\Delta x, \Delta y)_u | \bar{\theta}_2, M_2) = \lambda_1 G(\text{data}_u, \bar{\theta}_1) + \lambda_2 G(\text{data}_u, \bar{\mu} + \bar{\mu}_{12}, \bar{\sigma}) + \lambda_3 G(\text{data}_u, \bar{\mu} - \bar{\mu}_{12}, \bar{\sigma}), \lambda_1 = 1 - 2\lambda_2 \text{ and } \bar{\theta}_2 = (\bar{\theta}_1, \lambda_2, \bar{\mu}_{12}) \text{ (free parameters}=3)$$

$$P(\text{data}_u = (\Delta x, \Delta y)_u | \bar{\theta}_3, M_3) = \lambda_1 G(\text{data}_u, \bar{\theta}_1) + \lambda_2 G(\text{data}_u, \bar{\mu} + \bar{\mu}_{12}, \bar{\sigma}) + \lambda_3 G(\text{data}_u, \bar{\mu} - \bar{\mu}_{12}, \bar{\sigma}) + \lambda_4 G(\text{data}_u, \bar{\mu} + \bar{\mu}_{13}, \bar{\sigma}) + \lambda_5 G(\text{data}_u, \bar{\mu} - \bar{\mu}_{13}, \bar{\sigma}) + \lambda_6 G(\text{data}_u, \bar{\mu} + \bar{\mu}_{23}, \bar{\sigma}) + \lambda_7 G(\text{data}_u, \bar{\mu} - \bar{\mu}_{23}, \bar{\sigma}), \lambda_1 = 1 - 2\lambda_2 - 2\lambda_3 - 2\lambda_6 \text{ with } 0 \leq \lambda_2 \leq \frac{1}{2}, 0 \leq \lambda_3 \leq \frac{1}{2} - \lambda_2, 0 \leq \lambda_6 \leq \frac{1}{2} - \lambda_2 - \lambda_3 \text{ and } \bar{\theta}_3 = (\bar{\theta}_1, \bar{\mu}_{12}, \bar{\mu}_{13}) \text{ (free parameters}=7).$$

We assume $G(\text{data}_u, \bar{\theta}_i)$ obey the same multivariate Gaussian distribution of $\text{data}^{noise} = \bar{\Delta}_{ij}^{noise}(t)$, Fig. 3:

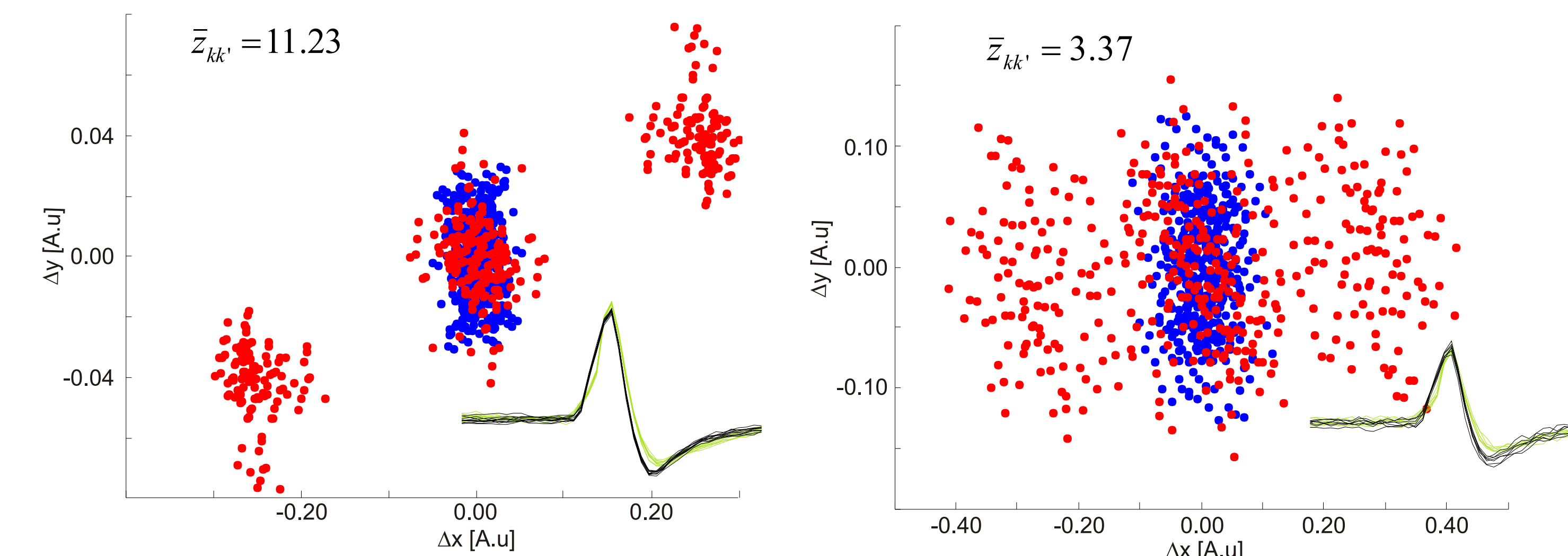
$$G(\bar{\Delta}_{ij}^{noise}, \bar{\mu}, \Sigma) = \frac{1}{(2\pi)^{d/2} |\Sigma|} \exp\left(-\frac{1}{2} (\bar{\Delta}_{ij}^{noise} - \bar{\mu})^T \Sigma^{-1} (\bar{\Delta}_{ij}^{noise} - \bar{\mu})\right), \text{ where } d = 2 \text{ dimensions and } \Sigma$$

is the covariance matrix (2x2).

Separation Measure

To decide if two or three spikes are cleanly discriminable or not, we use a separation measure:

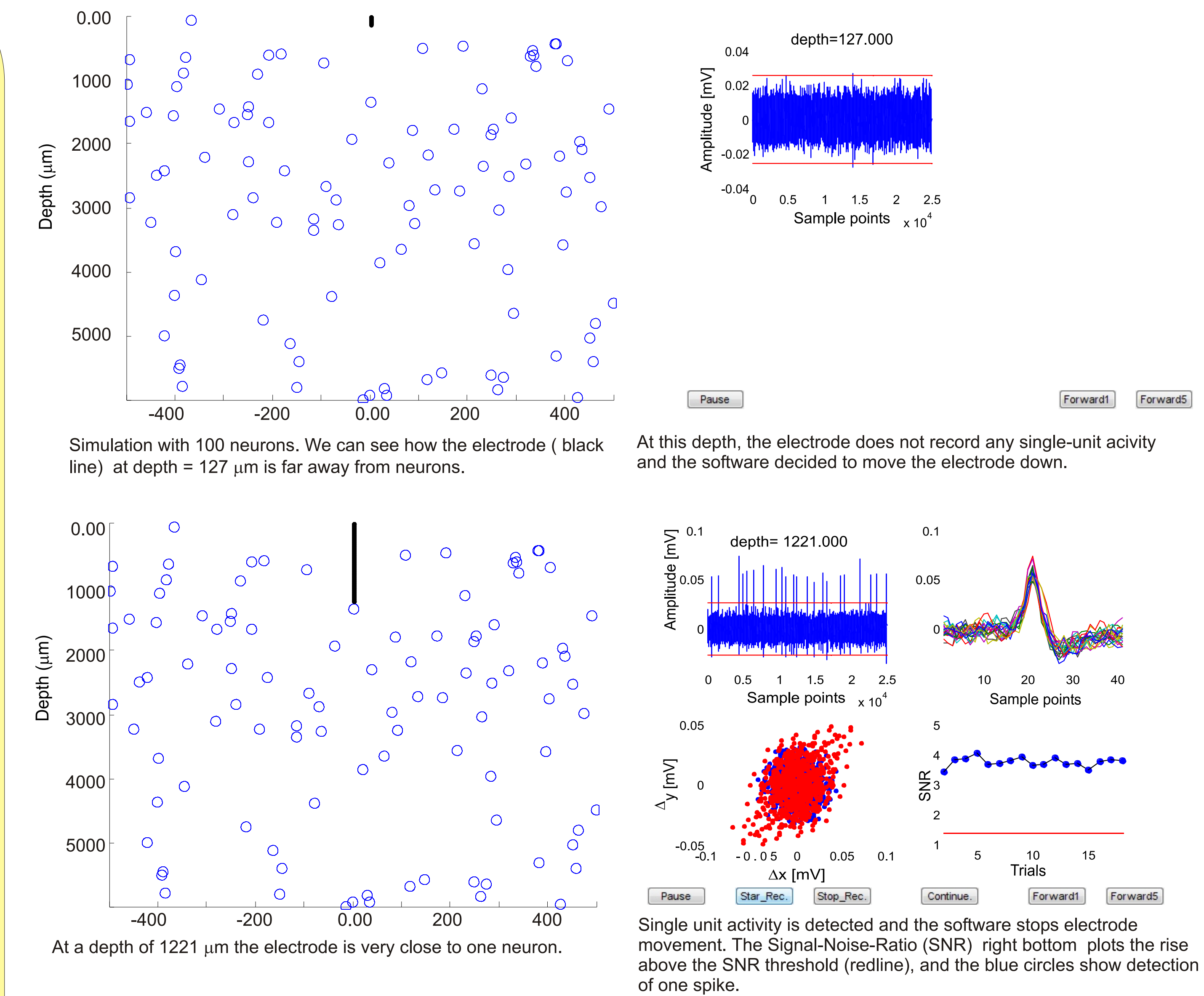
$$\bar{z}_{kk'} = \int z_{kk'} P(\bar{\theta}_k | M_k) P(\text{data} | \bar{\theta}_k, M_k) d\bar{\theta}_k, \text{ where } z_{kk'} = \frac{|\bar{\mu}_{kk'}|^2}{\bar{\sigma}_{Noise}^2 \bar{\mu}_{kk'}^2}$$



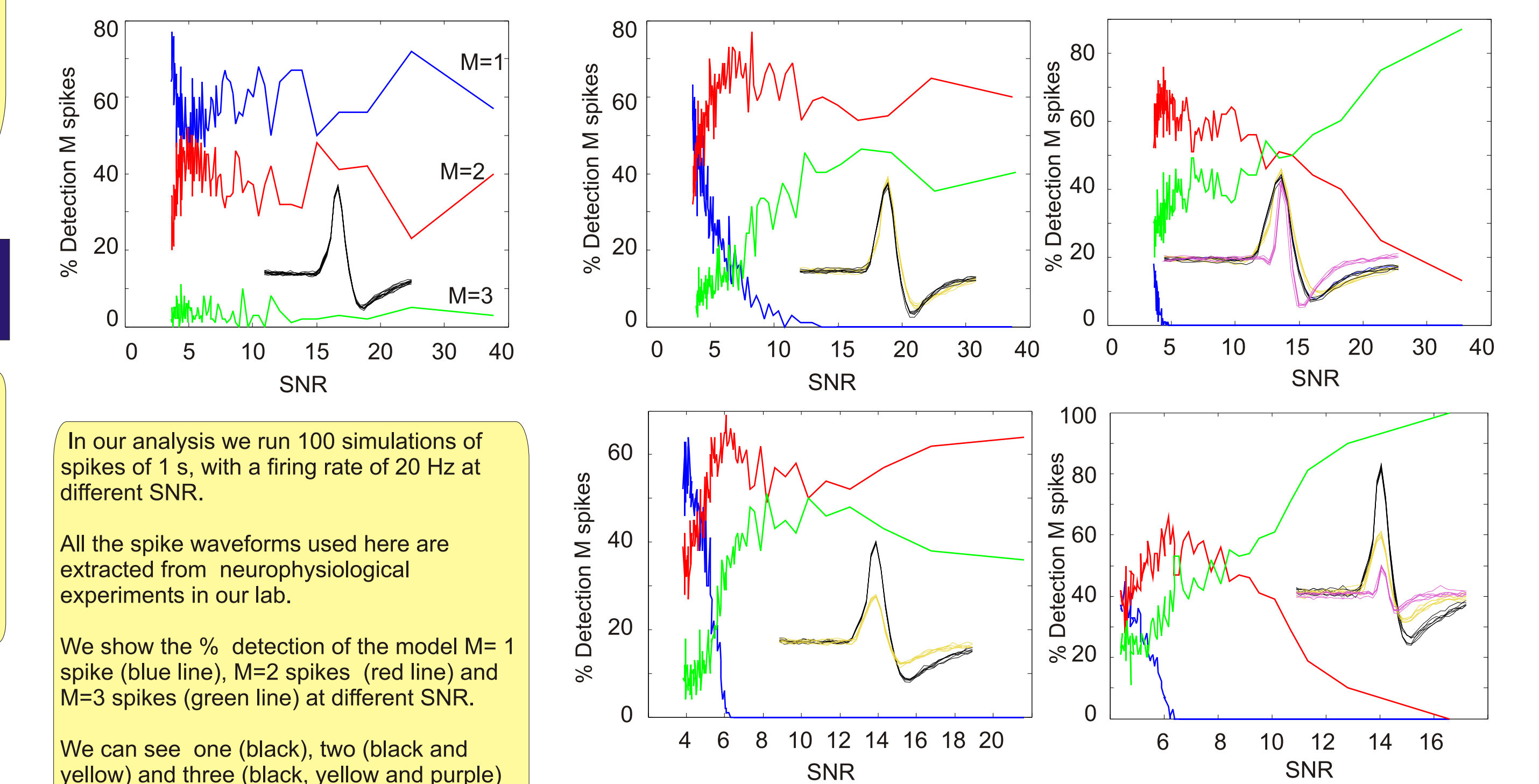
Pairwise differences of the spike waveforms showing well separated clusters at a $\bar{z}_{kk'} > 10$.

Pairwise differences of the spike waveforms showing a poor cluster separation at a $\bar{z}_{kk'} < 5$.

Simulation of Cell Finding



Parametric Analysis



In our analysis we run 100 simulations of spikes of 1 s, with a firing rate of 20 Hz at different SNR.

All the spike waveforms used here are extracted from neurophysiological experiments in our lab.

We show the % detection of the model M= 1 spike (blue line), M=2 spikes (red line) and M=3 spikes (green line) at different SNR.

We can see one (black), two (black and yellow) and three (black, yellow and purple) spike waveforms used in every simulation.

The spike waveforms have different shape and same (top) and different (bottom) size.

Conclusions

The algorithm differs from more usual approaches to unit clustering, in that it does not attempt to assign cluster identities to individual spikes. Consequently, the algorithm is effective on only short records, and fast enough to implement in real time.